

CODE OF CONDUCT

Purpose

The board requires the highest possible standards of professional and ethical conduct of itself and all employees. These principles set out the basic ethical standards required. All those in management have a special responsibility to lead according to these standards.

The Principles

General Conduct

Alpari (UK) will act honestly, fairly and with integrity when dealing with clients, customer, counterparties and colleagues. Business decisions will be made objectively and free from any conflict of interest.

Reputation

Alpari (UK) recognises the foundation to its success relies upon public trust and confidence and will act with integrity in maintaining its reputation.

Market Practices

Alpari (UK) provides a robust and stable platform coupled with exceptional execution whilst observing the standards of market integrity and conduct required by, or expected of participants in the market.

Business Practices

Alpari (UK) will always maintain sound business practices whilst it continues to develop and expand into new markets and offer a wider range of products.

Compliance

Alpari (UK) expects its employees to comply with all applicable laws, rules and regulations in each jurisdiction in which it does business.

Client Service

Alpari (UK) will provide industry leading service and ensure clients are always treated fairly. It will operate effective processes to deal with situations where these standards are challenged.

Employment practices

Alpari (UK) is responsible for creating a work environment in which employees are safe, feel valued and are able to contribute to Alpari's vision.

Employee Competency

Alpari (UK) is committed to ensuring that all employees maintain a level of professional competence and in doing so actively promotes continued professional development.