



Personal Trading Account Application Form

Please note that due to global banking and regulatory restrictions, we are unable to process applications from residents of certain countries/territories. Please refer to our website for details.

Account Information

Trading platform: QTrader L2 Dealer

Trading account currency: USD GBP EUR

IB number:

Telephone password:

If you have been referred by an introducing broker or agent, please specify their login/account number. **Please refer to Appendix B for IB disclosure.**

The telephone password is a memorable word that will be used to verify your identity should you ever want to discuss your account with us or trade by telephone. It can be any word or number or combination of both.

About You

Name and address

Title: Mr Mrs Miss Ms Dr

First name:

Middle name:
(if applicable)

Surname:

Date of birth: DD MM YYYY

Gender: Male Female

You must be 18 or older in order to open an account with Alpari (UK).

Current residential address

Address:

City/town:

Country:

Postcode:

Time at current address: Less than 3 years
 More than 3 years

Contact details

Email:

Telephone number:

(home number)

Mobile number:
(optional)**Employment details**

Employment status:

Employed

Self-Employed

Retired

Student

Unemployed

Please complete this section if you are **Employed**.**Employed**

Name of employer:

Nature of business:

Job title:

Please complete this section if you are **Self-Employed**.**Self-Employed**

Business name:

Nature of business:

Financial background

Approximate annual income:

USD

Approximate value of savings and investments:
(Excluding property)

USD

Approximately how much of these funds
are available for your trading with Alpari UK:

USD

Source of these funds:

(If you choose **Other**, please specify)

- Employment Inheritance Investment Real Estate
 Savings Other

Trading Experience

Forex and CFDs are leveraged products. They may not be suitable for you as they carry a high degree of risk to your capital and you can lose more than your initial investment. You must ensure that you understand all of the risks.

Do you understand the nature and risks of trading? Yes No

Have you traded in any of these markets over the past 2 years?

- Forex Shares/Bonds CFD/Spread Betting

Please complete this section if you have indicated that you have traded in the **Forex** market over the past 2 years.

Forex (Spot or Forward) trading experience

- Number of trades per month: Less than 5 transactions 6 - 10 transactions More than 10 transactions
- Average trading volume per month: Less than 50,000 USD 50,000 - 100,000 USD 100,000 - 500,000 USD
 More than 500,000 USD

Please complete this section if you have indicated that you have traded in the **Shares or/and Bonds** market over the past 2 years.

Shares or/and Bonds trading experience

- Number of trades per month: Less than 5 transactions 6 - 10 transactions More than 10 transactions
- Average trading volume per month: Less than 50,000 USD 50,000 - 100,000 USD 100,000 - 500,000 USD
 More than 500,000 USD

Please complete this section if you have indicated that you have **CFD trading or/and Spread Betting** experience over the past 2 years.

CFD trading or/and Spread Betting experience

Number of trades per month: Less than 5 transactions 6 - 10 transactions More than 10 transactions

Average trading volume per month: Less than 50,000 USD 50,000 - 100,000 USD 100,000 - 500,000 USD
 More than 500,000 USD

I have a good understanding of trading in leveraged derivatives due to involvement in the financial services sector. Yes No

I have a good understanding of trading in leveraged derivatives due to a relevant professional qualification or by education. Yes No

Declaration

I confirm I have read and understood the Customer Agreement, Terms of Business, Order Execution Policy and Risk Warning set out by Alpari (UK) and by submitting this application and the required documentation listed in Appendix A, I agree to be bound by the terms of these documents.

I confirm I understand the full nature and risks of trading Forex, CFDs and other derivatives.

I have access to the internet and consent to Alpari (UK) providing me with information about changes to the Customer Agreement or Terms of Business by posting such information on the company website www.alpari.co.uk.

Finally, I confirm that I am aged 18 years or over and that the information provided by me in this account opening process is true and correct and that I will notify Alpari (UK) in writing of any material changes. I guarantee that I do not breach any regulations of my country of residence in trading with Alpari (UK).

Signature:

Date:

Appendix A - Required Documentation

Residents of the **Austria, Belgium, Channel Islands, Denmark (including Faroe Islands), Finland, France, Germany, Gibraltar, Greece, Italy, Luxembourg, Netherlands, Norway, Portugal, Republic of Ireland, Spain, Sweden and UK** - to open your account please send us **one document** (copy) from each of the two lists in the grey section below.

Residents of the **Argentina, Australia, Bahrain, Brazil, Bulgaria, Canada, China (People's Republic of China), Cyprus (Republic of Cyprus), Czech Republic, Estonia, Hong Kong, Hungary, Iceland, India, Kuwait, Latvia, Liechtenstein, Lithuania, Malta, Mexico, New Zealand, Oman, Poland, Qatar, Romania, Russia, Saudi Arabia, Singapore, Slovakia, Slovenia, South Africa, South Korea, Switzerland, Taiwan, Turkey and UAE (United Arab Emirates)** - to open your account please send us **one document** (copy) from list (i) and **two documents** (copies) from list (ii) in the grey section below. Alternatively if you cannot provide two documents from list (ii) we will accept one **certified*** proof of address document from list (ii).

Residents of **all other countries** - to open your account please send us **one document** (copy) from list (i) and **two documents (copies)** from list (ii) in the grey section below. You must provide a clear, full and **certified*** copy of your ID and Address verification, certified by a lawyer, bank manager or notary only.

(i) ID Verification (non-expired)

- Passport; or
- Photocard driving licence (except Austria, Germany and Switzerland); or
- National ID card

(ii) Address Verification

- Bank statement; or
- Utility bill (gas, water, electric or landline telephone); or
- Credit card statement

*All copies must be certified by a lawyer, bank manager or notary public as a "true copy of the original", and include the stamp, full name, address, date and signature of the person performing the certification.

For **Address Verification**, we do not accept TV licenses, electronically generated PDF bank statements or utility bills and documents for PO BOX addresses. Moreover, the documents must be sent to you by post, be dated within 3 months and include your full name and current residential address, and the issuer and date must be visible.

Please email clear scanned documents to newaccount@alpari.co.uk or fax to +44 (0)20 7426 2949.

Please send the signed application form to Alpari (UK) Ltd via

Email: newaccount@alpari.co.uk

Fax: +44 (0)20 7426 2949

Post: 201 Bishopsgate, London EC2M 3AB, United Kingdom

For further questions, please contact Account Opening Team on +44 (0)20 7426 2940

Appendix B - IB Disclosure

Discretionary charges* may be incurred on your trading account due to the added value of the service offered to you by your Introducing Broker (IB) and/ or Money Manager (MM). A proportion of the charges levied will be paid directly to your IB/MM.

If you would like more details of these discretionary charges, please contact your IB/MM at the first instance and if you have further questions, please contact Alpari (UK) at partnerships@alpari.co.uk quoting your trading account number as well as the name and Agent number of your IB/MM.

* Discretionary charges may be any combination of the following: additional fee(s), commission(s), wider spread(s).

This disclosure is being provided to you because you have been introduced or referred to us by a third party "Introducing Broker".

Alpari (UK) and the Introducing Broker are entirely separate entities and act independently from one another. The Introducing Broker is not an agent or employee of Alpari (UK) and we accept no responsibility for their actions.

- We cannot endorse or guarantee any advice or information given to you by the Introducing Broker. If your Introducing Broker, or any other third party, provides you with information or advice regarding Forex Trading, we will in no way be responsible for any loss to you resulting from your use of such information or advice.
- We remind you that we may compensate the Introducing Broker for introducing you to us. Such compensation to the Introducing Broker may be on a rebate basis per trade or may require you to incur a mark-up, in addition to the spread normally provided by us. You have the right to be informed of the exact way in which any compensation is calculated and paid, and if you require this information please contact us at partnerships@alpari.co.uk.
- You have been provided with detailed information regarding the risks associated with trading margined products, and you have confirmed your understanding of these risks. You should ensure you do not rely on any information to the contrary from any other source.
- You understand that your Introducing Broker may or may not be regulated by a government agency, and you may not be covered by the same regulatory protections as when dealing with a regulated entity.

We trust that this is clear, but if not, please contact partnerships@alpari.co.uk or your IB/MM.